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UNITED STATES PATENT AND TRADEMARK OFFICE



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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/912,824 | 07/25/2001 | William C. Olson | 62942-B/JPW/SHS |

CONFIRMATION NO. 7125

FORMALITIES LETTER



OC00000006546712

Cooper & Dunham, LLP
1185 Avenue of the Americas
New York, NY 10036

SEP 17 2001

Date Mailed: 09/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Geneva
Customer Service Center

01/29/2002 HTECKLU1 00000027 09912824

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65.00 OP

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



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Dkt. 62942-B/JPW/SHS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : William C. Olson, et al.
U.S. Serial No : 09/912,824
Filed : July 25, 2001
For : COMPOSITIONS AND METHODS FOR INHIBITION OF HIV-1 INFECTION

1185 Avenue of the Americas
New York, New York 10036
October 31, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

ATTN: Box Missing Parts

Sir:

**COMMUNICATION IN RESPONSE TO
SEPTEMBER 13, 2001 NOTICE TO FILE MISSING
PARTS OF APPLICATION UNDER 37 C.F.R. §1.53(b)**

This Communication is filed in response to a Notice to File Missing Parts of Application issued by the United States Patent and Trademark Office on September 13, 2001 in connection with the above-identified application. A copy of the Notice is attached hereto as Exhibit A. The Notice provides a period of two months for filing a response. Accordingly, a response is due on November 13, 2001. Therefore this Communication is being timely filed.

The Notice indicates that the oath and declaration is unsigned. In response, applicants attach hereto as Exhibit B a Declaration and Power of Attorney pursuant to 37 C.F.R. §1.53(b) and in compliance with 37 C.F.R. §163. The Declaration refers to the application's above-identified serial number and filing date.

The Notice to File Corrected Application Papers also indicates that substitute drawings in compliance with 37 C.F.R. §1.84 must be filed because numbers, letters and reference characters must include a top margin of at least 2.5 cm, a left side margin of at least 2.5 cm, a right side margin of at least 1.5 cm, and a bottom margin of at least 1.0 cm.

Applicants: William C. Olson, et al.
U.S. Serial No.: 09/912,824
Filing Date: July 25, 2001
Page 2

In response, applicants attach hereto as Exhibit C 32 sheets of substitute drawings in compliance with 37 CFR §1.84. The attached substitute drawings do not contain any issue of new matter.

The surcharge under 37 C.F.R. §1.16(e) for responding to the Notice to File Missing Parts of Application under 37 C.F.R. §1.53(b) is SIXTY-FIVE DOLLARS (\$65.00) for a small entity. Applicants previously established small entity status and such status is still applicable. A check for a total amount of \$65.00 is enclosed to cover the surcharge for filing a Declaration and Power of Attorney.

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned attorneys invite the Examiner to telephone either of them at the number provided below.

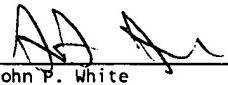
No fee, other than the enclosed \$65.00 surcharge fee, is deemed necessary in connection with the filing of this Communication. If any additional fees are required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,



John P. White
Registration No. 28,678
Spencer H. Schneider
Registration No. 45,923
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I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

 10-31-01

| | |
|----------------------|------|
| John P. White | Date |
| Reg. No. 28,678 | |
| Spencer H. Schneider | |
| Reg. No. 45,923 | |